### DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SEALED CARTRIDGE FOR MAKING A BEVERAGE

and for which a patent application is attached hereto

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

|                        | (day, month, year)                  | TRIORIT  | Y CLAIMED  |
|------------------------|-------------------------------------|--|--|
| European Patent Office | 18 March 1999                       | ⊠ YES  | □ NO   |
|                        |                                     | ☐ YES  | ☐ NO   |
|                        |                                     | ☐ YES  | □ NO   |
| ATION NUMBER           | FILING DATE                         |  |  |
|                        |                                     |  |  |
|                        |                                     |  |  |
| 5                      | , United States Code, § 119(e) of a | European Patent Office 18 March 1999  , United States Code, § 119(e) of any United States provisional approximately approximately 119(e) and 11 | European Patent Office  18 March 1999  ☐ YES ☐ YES ☐ YES ☐ YES  , United States Code, § 119(e) of any United States provisional application(s) listed by |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| NON-PROVISIONAL | EILING DATE   | STATUS   |         |           |  |
|-----------------|---------------|----------|---------|-----------|--|
| APPLICATION NO. | FILING DATE   | PATENTED | PENDING | ABANDONED |  |
| PCT/EP00/01752  | March 2, 2000 |          | X       |           |  |
|                 |               |          |         |           |  |
|                 |               |          |         |           |  |
|                 |               |          |         |           |  |

<sup>\*</sup> for use only when the application is assigned to a company, partnership or other organization.

(1)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| FULL NAME              | LASTNAME                   | FIRST NAME               | MIDDLE NAME          |                     |
|------------------------|----------------------------|--------------------------|----------------------|---------------------|
| OF INVENTOR            | MASEK                      | Petr                     |                      |                     |
| RESIDENCE &            | CITY                       | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENS  | en.                 |
| CITIZENSHIP            | Granges                    | Switzerland              | Switzerland          |                     |
| POST OFFICE            | STREET                     | CITY                     | STATE OR COUNTRY     | ZIP CODE            |
| ADDRESS                | Le Verger C                | Granges                  | Switzerland          | 1614                |
|                        | SIGNATURE OF INVENTOR 201  | n                        | Septem               | ber 11<br>2001      |
| FULL NAME              | LAST NAME                  | FIRST NAME               | MIDDLE NAMII         |                     |
| OF INVENTOR            | YOAKIM                     | Alfred                   |                      |                     |
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|                        | d                          | A                        | Septem               | ber 10 <sup>H</sup> |
| FULL NAME              | LAST NAME                  | FJEST NAME               | MIDDLE NAME          | -                   |
| OF INVENTOR            |                            |                          |                      |                     |
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| CITIZENSHIP            |                            |                          |                      |                     |
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| ADDRESS                |                            |                          |                      |                     |
|                        | SIGNATURE OF INVENTOR 203  | ÷                        | DATE                 |                     |
| FULL NAME              | LAST NAME                  | FIRST NAME               | MIDDLE NAME          |                     |
| OF INVENTOR            |                            |                          | 1                    |                     |
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| CITIZENSHIP            |                            |                          |                      |                     |
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| ADDRESS                |                            |                          |                      | 1                   |
| a man and and and      | SIGNATURE OF INVENTOR 204  |                          | DATE                 |                     |
| THE LANGE              | LAST NAME                  | FIRST NAME               | MIDDLE NAME          |                     |
| FULL NAME              |                            |                          |                      |                     |
| OF INVENTOR            | CITY                       | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENS  | CHUP                |
| RESIDENCE &            |                            |                          |                      |                     |
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| POST OFFICE            |                            |                          |                      |                     |
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|                        | distant des At Masuiths wa |                          |                      |                     |
|                        |                            |                          |                      |                     |
|                        |                            |                          |                      |                     |

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: | P. | M | ASEK. | et | al. |
|-----------------------|----|---|-------|----|-----|
|-----------------------|----|---|-------|----|-----|

Application No.:

Group Art Unit:

Filing Date: Concurrently Herewith

Examiner:

For:

SEALED CARTRIDGE FOR MAKING A

Attorney Docket No.: 88265-6550

**BEVERAGE** 

# POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

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100 A The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Allan A. Fanucci (Reg. No. 30,256) of WINSTON & STRAWN (Customer No. 28765) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

721 An assignment of the entire interest in the above-identified subject application is submitted herewith for recording and a copy is attached. The undersigned has reviewed this assignment and, to the best of his knowledge, title is in the assignee seeking to take action in this application and that he is empowered to act on its behalf.

| ASSIGNEE:          | NESTEC #.A.              |
|--------------------|--------------------------|
| Signature:         | - N.W                    |
| Date of Signature: | - Sopt. 14 2001          |
| Typed Name:        | Roman Vuille             |
| Position/Title:    | Assistant Vice President |
| Address:           | Avenue Nestlé 55         |
|                    | 1800 Vevey               |
|                    | Switzerland              |

### ASSIGNMENT



WHEREAS, We,

Petr MASEK, a citizen of Switzerland, residing at Le Verger C 1614 Granges, Switzerland; and

Alfred YOAKIM, a citizen of France, residing at Ch. de la Routiaz 2, 1806 ST-Legier-La-Chiesaz, Switzerland

ASSIGNORS, are the inventors of the invention in SEALED CARTRIDGE FOR MAKING A BEVERAGE, for which we have executed an application for a Patent of the United States

which is executed on even date herewith which is identified by Winston & Strawn Docket No. 88265-6550

WHEREAS, NESTEC S.A., a Swiss corporate body having a place of business at Avenue Nestlé 55, 1800 Vevey, Switzerland, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

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AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention.

IN WITNESS WHEREOF, the undersigned inventors have affixed their signatures.

| Septémber 11, 2001  | Vet Ams   |
|---|---|
| Date  | Petr MASEK  |
| On this day of  | 2001, before me appeared Petrobe the person of that name, who signed the e same to be his/her free act and deed.  Witness     |
| Date  | Alfred YOAKIM   |
| On this day of sale of sale of day of sale of day of sale of sale of day of sale of sale of day of sale of sale of sale of day of sale of | 2001, before me appeared n to me to be the person of that name, who signed the same to be his/her free act and deed.  Witness |